| FO | RM PT | Q-1449 (MODIFIE | D) | ATTORNEY DOCKET | ' O. | SERIA | L NO. | | |
|---------------------|-----------------|------------------------------|-------------|-------------------------------|--------------|--|---------|-------------------------|--|
| | PU | F PATENTS AND BLICATIONS | | SP01-376 | 09/919,140 | | | | |
| FOR A | APPLIC SCLOS | ANTS INFORMA URE STATEMEN | TION T | APPLICANT Berkey | | | | | |
| | / ;; | JUL 2 3 2002 6 | | FILING DATE July 31, 2 | 2001 | GROU | P: 173 | 1 | |
| REFERE | NCE D | DESIGNATION | | U.S. PATENT DOCUME | NTS | | | | |
| Examiner Initial | | Document Number | Date | Name | Clas | 1 | ub- i | iling Date f Approp. | |
| LYKA | AA | 5,074,633 | 12/24/91 | Cohen, et al | 385 | OPYOR | Page | | |
| | AB | AB 6,062,743 | | | 385 | CP OB PIGIVOS | APERS | | |
| | AC | | 05/16/00 | 2, 5, 0 | 1303 | 75 | · FILED | | |
| | AD | | | | | \rightarrow | | | |
| | AE | | | | | | | | |
| | AF | | | | | | | | |
| , | AG | | | | | 1.E.C. | | | |
| | AH | | | | | | | | |
| | | | | | | C: | | <u>ંું</u> | |
| | AI | | - <u> </u> | | | <u> </u> | | === | |
| | AJ | | | | | | 7 | \overline{n} : | |
| | AK | | | | | | | い | |
| | _ | F | OREIGN | PATENT DOCUMENTS | | | 2170 | | |
| | | Document Number | Date | Country | Class | Sub- | Tra | anslation | |
| | AL | | | | + | Clas | s Ye | s No | |
| | AM | | | | | + | | | |
| | AN | | - | | | | | | |
| | AO | | | | | ┿ | | | |
| | AP | | | | | | | | |
| | AQ | | | | <u> </u> | ┼ | | | |
| | | OTHER ART (In | ncluding A | Author, Title, Date, Pertiner | nt Pages e | rc) | Щ | | |
| | AR | | | ,, 2 400, 1 0101101 | | ., | | | |
| | AS | | | | | | | | |
| | AT | | | | | | | | |
| | AU | | | | | | | | |
| | AV | | | | | | | | |
| | AW | | | | | - | | | |
| EXAMINE | | | | DATI | E CONSID | ERED: | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| FORM PTO-1449 (MODIFIED) | | | | AT | FORNEY DOCKET NO | Э. | SERIAL NO. | | | |
|---|----------|------------------------|-----------|------------------|----------------------------|--|---------------------------------------|----------------------|------------------|--|
| 1 5 7003 ILEST OF PATENTS AND PUBLICATIONS | | | | SP01-376 | | | 09/919140 | | | |
| PUBLICATIONS FOR PPLICANTS INFORMATION DISCLOSURE STATEMENT | | | | API | PLICANT: BERKEY G | EORGE | E, et al. | | | |
| | | | | FIL | ING DATE 7/31/2001 | | GROUR | 1781. | . \$ | |
| REFERE | NCE D | ESIGNATION | | U.S. | PATENT DOCUMENT | гѕ | | 1 1 1 | | |
| Examiner Initiah | | Document Number | Date | ; | Name | Class | s Sub- | Filing D if Appro | | |
| 11; | AA | 5,933,561 | 8/3/99 | | Sugizaki | | | | | |
| Pa | AB | 6,173,588 | 1/16/01 | | Berkey et al. | | | | | |
| 7/1 | AC | 2002/0069677 | 6/13/02 | | Berkey | | | I | | |
| 7// | AD | 2001/0008077 | 7/19/01 | | Berkey | | | | | |
| | AE | | | | | | | | | |
| | AF | | | | | | | | | |
| | AG | | | | | | | | | |
| | AH | | | | | | | | | |
| | AI | | | | | | | | | |
| | AJ | | | | | | | | | |
| | AK | | | | | | | | | |
| | | F | OREIGN | PAT | ENT DOCUMENTS | | ે _લ • દૂ | a. Wana wa | ي بد | |
| | | Document Number | Date | | Country | Class | Sub- | Translati | | |
| | | Document Number | Date | | Country . | Class | Class | 1 | No. | |
| • | AL | JP08190030 | 7/23/96 | - J | apan Abstract | | | X | | |
| | AM | | | | | | | | | |
| | AN | | | | | | | | | |
| | AO | | | | | | | | | |
| | AP | | | | | <u> </u> | | | | |
| · | AQ | <u> </u> | · | | | <u> </u> | | | | |
| | | OTHER ART | Including | Anth | or, Title, Date, Pertinent | t Pages e | etc) | | | |
| | AR | OTTIBICTION (| nordding | Tucii | or, Title, Dute, Territori | t Tugos, c | , (0.) | | | |
| | AS | | | | | | | | | |
| | AT | | | | | | | | | |
| | AU | | | | | ······································ | | | | |
| | AV | 1 1 | | | | | · · · · · · · · · · · · · · · · · · · | · | | |
| | AW | | | | | | | ` ~ | | |
| XAMINE | | | | | DATE | CONSI | DERED: | 7 | <u> </u> | |
| | Apritial | if rederence considere | | | | | P 609: drav | <u> </u> | | |

| الرسر | / HAL / | |
|------------|------------|----------|
| · <i>J</i> | - 3 | <u>.</u> |
| FORM F | TO-1449 WO | ADERED) |

LIST OF PATENTS AND **PUBLICATIONS** FOR APPLICANTS INFORMATION **DISCLOSURE STATEMENT**

| ATTORNEY DOCKET NO | ATTORNE | Y DOC | KET | NC |
|--------------------|---------|-------|-----|----|
|--------------------|---------|-------|-----|----|

SERIAL NO.

Berkey 33B

09/919,140

APPLICANT Berkey et al.

FILING DATE July 31, 2001

GROUP:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document Number | Date | Name | Class | Sub- Class | Filing Date if Approp. |
|---------------------|----|-----------------|----------|-------------------|-------|---------------|------------------------|
| | AA | 3455667 | 7/15/69 | E. Snitzer et al. | | | |
| 7/1 | AB | 4195980 | 4/1/80 | Sterling et al. | | | |
| V | AC | 4212660 | 7/15/80 | Maurer | | | |
| | AD | 4407667 | 10/4/83 | Le Noane et al. | | | |
| _ | AE | 4486212 | 12/4/84 | Berkey | | | |
| | AF | 4668263 | 5/26/87 | Yokota | | | · |
| | AG | 4715679 | 12/29/87 | Bhagavatula | | | |
| | AH | 4874416 | 10/17/89 | Yokokawa et al. | | | |
| | ΑI | 5149349 | 9/22/92 | Berkey et al. | | | |
| | AJ | 5152818 | 10/6/92 | Berkey et al. | | | |
| W | AK | 5483612 | 1/9/96 | Gallagher et al. | | | |

FOREIGN PATENT DOCUMENTS

| | | Λ | 1 | | Document Number | Date | Country | Class | Sub- Class | Transl Yes | ation No |
|---|---------------|---------------|---|----|-----------------|---------|-------------------|-------|---------------|---------------|-------------|
| | K | 1 | | AL | GB 1448080 | 4/10/75 | Great Britain | | | | |
| | \mathcal{T} | _ | | AM | JP55158146 | 9/12/80 | Japanese Abstract | | | | |
| _ | | | | AN | JP57111255 | 7/10/82 | Japanese Abstract | | , | | |
| | | . / | A | AO | JP03016930 | 1/24/91 | Japanese Abstract | | | | |
| | 7 | \mathcal{M} | Y | AP | EP0718244 | 6/26/96 | European | | | | |
| • | \sqrt{g} | ΖΖ | 1 | AQ | EP0737873 | 9/4/96 | European | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

| 7/// | AR | Academic Press; Optical Fiber Telecommunications; 1979; pp. 250-255 |
|------|------|--|
| KAN | AS | Ozeki, Takeshi; Optical equalizers; Optics Letters; March 1, 1992; vol. 17, no. 5; pp. 375-377 |
| THI | AT | Pierson, Hugh O.; Handbook of Chemical Vapor Deposition (CVD) Principles, Technology, and Applications; 1992; pp. 2-3, pp. 339-344 |
| UTAY | ~ AU | Kirk-Othmer; Encyclopedia of Chemical Technology; 1997; Fourth Edition, Vol. 23; pp. 1060-1061 |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial of referen e considered, whether or not citation is in conformance with MPEP 609: draw line through and not considered. Include copy of this form with next communication to applicant. conforman citation if not in

> **COPY OF PAPERS ORIGINALLY FILED**

| رد | | Document Number | an dis | | | | Filing Date |
|---------------------|----|-----------------|----------|------------------|-------|---------------|-------------|
| Examiner Initial | | Document Number | C& THANK | Name | Class | Sub- Class | if Approp. |
| | AV | 5267339 | 11/30/93 | Yamauchi et al. | | | |
| 7/ 1 | AW | 5613027 | 3/18/97 | Bhagavatula | | | |
| | ٩X | 5618325 | 4/8/97 | Baniel | | | |
| I A | AY | 5835655 | 11/10/98 | Liu et al. | | | |
| | ۸Z | 5894537 | 4/13/99 | Berkey et al. | | | |
| | 3A | 6094942 | 8/1/00 | Falleroni et al. | | | |
| Ø\/ F | 3B | 6094943 | 8/1/00 | Okuda et al. | | | |
| | | | | | | | |
| | | | | | | | |

COPY OF PAPERS ORIGINALLY FILED